



Independent Audit Committee

Meeting: Tuesday 13 March 2018 at 10.00am
Venue: Executive Conference Room, Main HQ, Middlemoor, Exeter
EX2 7HQ

AGENDA

- 1. Apologies for Absence**
To record apologies for absence received from members.
- 2. Declaration of Interest**
To receive declarations by members of (a) personal interests [including their nature] and (b) prejudicial interests.
- 3. Equality and Health and Safety Obligations**
To remind members of their responsibility to consider equality and health and safety in all of their decisions.
- 4. Minutes**
To confirm the minutes of the Independent Audit Committee meeting held on 8th December 2017.
- 5. Action Log**
To review the action log and receive updates for ongoing actions.
- 6. Matters Not Covered Elsewhere**
To be presented by the Chair.
- 7. Annual Internal Audit Plan and Audit Charter**
To be presented by SWAP.
- 8. External Audit Plan for 2017/18**
 - a. Devon and Cornwall**
 - b. Dorset**To be presented by KPMG.
- 9. Budget Update**
To be presented by Alexis Garlick and Sandy Goscomb.
- 10. Treasury Management Strategy for 2018/19**
To receive a joint report from the Treasurers of Devon & Cornwall and Dorset.

11. Reserves Policy for 2018/19

To receive a joint report from the Treasurers of Devon & Cornwall and Dorset.

12. Statement of Accounts 2017/18

To be presented by Lucinda Hines.

13. Value for Money Profiles

- a. Devon and Cornwall
- b. Dorset

To be presented by Neal Butterworth.

14. Advice to Chiefs and PCCs

To be presented by Sandy Goscomb.

15. Annual Governance Statements

- a. Joint Devon and Cornwall (late paper)
- b. OPCC Dorset
- c. Force Dorset

To be presented by Karen James.

16. Internal Audit Quarterly Update and Highlights

To be presented by SWAP.

17. Corporate Risk Registers for Devon and Cornwall and Dorset

To be presented by Karen James.

18. PRISM Strategic Alliance Update

To be presented by Sandy Goscomb

19. Counter Fraud and Corruption Policy

To be presented by Karen James.

20. Close

Attendance

Helen Donnellan (Chair)
Tom Grainger (Vice Chair)

Amanda Gallaher
Malcolm Smith
Gordon Mattocks
Linda Williams

Sandy Goscomb

Committee Member
Committee Member

Committee Member
Committee Member
Committee Member
Committee Member

Director of Finance and Resources
(Devon and Cornwall)

Alexis Garlick

Not Protectively Marked
Freedom of Information Classification – Open

Karen James	Chief Finance Officer (Office of the Police and Crime Commissioner, Dorset)
Neal Butterworth	Head of Alliance Audit, Insurance and Strategic Risk
Lucinda Hines	Head of Finance (Devon and Cornwall)
Tanya Hutchings	Head of Technical Accounting (Devon and Cornwall)
Rupert Bamberger	Administrative Officer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Laura Wicks	South West Audit Partnership
Darren Gilbert	South West Audit Partnership
Apologies	KPMG External Auditors

Frances Hughes

Simon Bullock	Chief Executive Officer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Nicola Allen	Chief Executive Officer (Office of the Police and Crime Commissioner, Dorset)
John Jones	Treasurer (Office of the Police and Crime Commissioner, Devon and Cornwall)
	Assistant Chief Officer (Dorset)

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality; religion or belief (including lack of belief); sex and sexual orientation.