

AGENDA NO: 17



INDEPENDENT AUDIT COMMITTEE – 13 MARCH 2018 CORPORATE RISK REGISTERS

**REPORT BY KAREN JAMES
HEAD OF AUDIT, INSURANCE AND STRATEGIC RISK**

PURPOSE OF THE REPORT

The purpose of this paper is to present the Corporate (Force) risk registers for Devon and Cornwall and Dorset Police and the Dorset OPCC Risk Register.

1.0 INTRODUCTION

- 1.1 At the last meeting of the Audit Committee an update was given on the plans for strategic risk management and members requested sight of the respective corporate risk registers.
- 1.2 The risk registers are attached as Appendices to this paper.

2.0 PROGRESS UPDATE

- 2.1 The Dorset corporate risk register is in the process of being reviewed and updated to ensure that all underlying risks through Departments and Boards are being captured, and is therefore draft. This work will continue outside of the much bigger piece of risk management work designed to align systems, practices and procedures across the Alliance.
- 2.2 Members will recall from the last meeting that the arrangements for corporate risk management are in the developmental stage following the review of risk management that took place in 2017.
- 2.3 Following on from one of the recommendations, two Committee members volunteered for consideration to sit on the newly established Risk and Assurance Board (RAB).
- 2.4 I am pleased to report that the Deputy Chief Constable, James Vaughan, will chair the RAB being supported by Michael Stamp, Director of Legal Services.
- 2.5 I have now met with them and the following was agreed:
 - The terms of reference for the RAB
 - The terms of reference for the Risk Working Group

- The membership of the RAB
- That both Committee members should sit on the RAB as observers with no executive authority.

Additional resources to support the necessary work are currently being identified.

3.0 RISK/THREAT ASSESSMENT

Financial/Resource/Value for Money Implications

- 3.1 The additional resources required to support the implementation of the new risk arrangements are still be considered by Chief Officers.

Legal Implications

- 3.2 There are no direct legal implications arising from this paper.

Implications for Policing Outcomes

- 3.3 The delivery of policing would be adversely affected if decisions are being made at any level of the Force without being fully informed of the current and emerging risks.

Equality

- 3.4 There are no equality issues arising from this paper.

4.0 RECOMMENDATIONS

- 4.1 Members are asked to note the report and to review and comment on the risk registers.

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