



Independent Audit Committee

Meeting: Tuesday 17th July 2018 at 10.00am
Venue: Boardroom 2 & 3, Force HQ, Winfrith, Dorchester, `
Dorset
DT2 8DZ

AGENDA

- 1. Apologies for Absence**
To record apologies for absence received from members.
- 2. Declarations of Interest, Equality and Health and Safety Obligations**
To receive declarations by members of (a) personal interest [including their nature] and (b) prejudicial interests [including their nature] and to remind members of their responsibility to consider equality and health and safety in all of their decisions.

OPEN AGENDA ITEMS

- 3. Open Minute Items [FOIA - Open]**
To confirm the minutes of the open items for the Independent Audit Committee meeting held on 13th March 2018.
- 4. Action Log [FOIA – Closed s.22]**
To review the action log and receive updates for ongoing actions.
- 5. Chair's Business [FOIA - Open]**
To be presented by the Chair.
- 6. Internal Audit Opinion [FOIA – Open]**
To provide the audit opinion for internal audit work for 2017/18.
To be presented by Rupert Bamberger.
- 7. External Audit Opinion/ISA 260 Report [FOIA - Open]**
To provide the audit opinion for external audit work for 2017/18.
To be presented by Rees Batley.
- 8. Annual Financial Report for 2017/18 [FOIA - Open]**
 - a. Devon and Cornwall**
 - b. Dorset**To present the annual financial report for Devon and Cornwall and Dorset.
To be presented by Nicola Allen (a) and Alexis Garlick (b).

Not Protectively Marked
Freedom of Information Classification – Open



9. Treasury Management Outturn 2017/18 [FOIA – Open]

- a. Devon and Cornwall
- b. Dorset

To present the final outturn for treasury management in the financial year.
To be presented by Lucinda Hines.

10. Fees for External Audit 2018/19 [FOIA – Open]

- a. Devon and Cornwall
- b. Dorset

To present the external audit fees for 18/19.
To be presented by Nicola Allen (a) and Alexis Garlick (b).

CLOSED AGENDA ITEMS

11. Closed Minute Items [FOIA – Closed various]

To confirm the minutes of the closed items for the Independent Audit Committee meeting held on 13th March 2018.

12. Insurance Programme [FOIA – Closed s.43(2)]

To present the renewed joint insurance arrangements.
To be presented by Karen James.

13. Internal Audit Quarterly Update and Highlights [FOIA – Closed s.33]

To provide an update on the progress of the Internal Audit Plan over the last quarter.
To be presented by Rupert Bamberger.

14. Regional Insurance Broker Renewal [FOIA - Closed s.43(2)]

To provide members with the timetable and overview of the arrangements for the retender of the regional insurance broker contract.
To be presented by Karen James.

15. Risk Registers [FOIA – Closed s.31]

To present the corporate risk registers.
To be presented by Karen James.

16. Strategic Alliance and Merger Update [FOIA – Closed s.22]

To provide an update of the Strategic Alliance.
To be presented by ACC Sharon Taylor.

17. Annual Review of Terms of Reference [FOIA – Closed s.22]

To provide an update on the review of the Terms of Reference for the Independent Audit Committee.
To be presented by Karen James.



18. Independent Audit Committee Operational Principles [FOIA – Closed s.22]

To set out the operational principles of the Independent Audit Committee.
To be presented by the Chair.

19. Chair's Feedback to PCCs and CCs [FOIA – Closed s.22]

To provide a verbal summary of the Committees feedback to go to the PCCs.
and CCs.
To be presented by the Chair.

20. Close

Attendance

Helen Donnellan (Chair)	Committee Member
Tom Grainger (Vice Chair)	Committee Member
Amanda Gallaher	Committee Member
Malcolm Smith	Committee Member
Gordon Mattocks	Committee Member
Linda Williams	Committee Member
Nicola Allen	Treasurer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Sandy Goscomb	Director of Finance and Resources (Devon and Cornwall)
Alexis Garlick	Chief Finance Officer (Office of the Police and Crime Commissioner, Dorset)
John Jones	Assistant Chief Officer (Dorset)
Karen James	Head of Alliance Audit, Insurance and Strategic Risk
Neal Butterworth	Head of Finance (Devon and Cornwall)
Lucinda Hines	Head of Technical Accounting (Devon and Cornwall)
Tanya Hutchings	Administrative Officer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Rupert Bamberger	South West Audit Partnership
Laura Wicks	South West Audit Partnership
Rees Batley	KPMG External Auditors



Apologies

Frances Hughes

Chief Executive Officer (Office of the
Police and Crime Commissioner,
Devon and Cornwall)

Simon Bullock

Chief Executive Officer (Office of the
Police and Crime Commissioner,
Dorset)

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality; religion or belief (including lack of belief); sex and sexual orientation.