



Independent Audit Committee

Meeting: Tuesday 18th September 2018 at 11.00am
Venue: Fowey Room, Andy Hocking House, Middlemoor HQ, Exeter,
Devon
EX2 7RP

AGENDA

1. Apologies for Absence

To record apologies for absence received from members.

2. Declarations of Interest, Equality and Health and Safety Obligations

To receive declarations by members of (a) personal interest [including their nature] and (b) prejudicial interests and to remind members of their responsibility to consider equality and health and safety in all of their decisions.

OPEN AGENDA ITEMS

3. Open Minute Items [FOIA - Open]

To confirm the minutes of the open items for the Independent Audit Committee meeting held on 17th July 2018.

4. Open Items of Chair's Business [FOIA – Open]

To be presented by the chair.

5. Budget Planning Process [FOIA - Open]

To present the budget planning process for the coming year.
To be presented by Alexis Garlick.

6. Annual Governance Statement Timetable [FOIA - Open]

To the present the timetable for the compilation of the Annual Governance Statement for the 2018/19 year.
To be presented by Karen James.

Not Protectively Marked
Freedom of Information Classification – Open



7. External Audit Quarterly Update [FOIA - Open]

To present an update of work of external audit over the last quarter.
To be presented by Alex Walling.

8. Gifts and Hospitality Arrangements/Policy [FOIA – Open]

To present the most recent version of the Gifts and Hospitality Policy for information and consideration.
To be presented by Karen James.

9. Protected Disclosure Policy [FOIA - Open]

To present the most recent version of the Protected Disclosure Policy for information and consideration.
To be presented by Karen James.

10. Audit Committee Training Plan [FOIA – Open]

To the present the most recent version of the training plan for consideration.
To be presented by Alexis Garlick.

CLOSED AGENDA ITEMS

11. Closed Minute Items [FOIA – Closed (various)]

To confirm the minutes of the closed items for the Independent Audit Committee meeting held on 17th July 2018.

12. Action Log [FOIA – Closed s.22]

To review the action log and receive updates for ongoing actions.

13. Closed Items of Chair's Business [FOIA - Closed (various)]

To be presented by the Chair.

14. Internal Audit Quarterly Update and Highlights [FOIA – Closed s.33]

To provide an update on the progress of the Internal Audit Plan over the last quarter.
To be presented by Rupert Bamberger.



15. Update on Regional Insurance Broker Renewal [FOIA – Closed 2.43(2)]

To provide a verbal update on the progress of the Regional Insurance Broker Renewal.

To be given by Karen James.

16. Update on Fraud and Corruption Investigations [FOIA – Closed s.30(2)]

To provide and update on the number of Fraud and Corruption investigations that have taken place since the last committee.

To be presented by Karen James.

17. Draft Risk Management Strategy and Policy [FOIA – Closed s.22]

To present the draft Strategy and Policy for consideration and feedback prior to its presentation to the Risk and Assurance Board.

To be presented by Karen James.

18. Risk Registers [FOIA – Closed s.31]

- a. Devon and Cornwall
- b. Dorset Force
- c. Dorset OPCC

To present the corporate risk registers.

To be presented by Karen James.

19. Strategic Alliance Update [FOIA – Closed s.22]

To provide a verbal update of the Strategic Alliance.

To be delivered by Ch. Inspector Stuart Dipple.

20. Merger Update [FOIA – Closed s.22]

To provide a merger update.

To be presented by Assistant Chief Constable Sharon Taylor.

Not Protectively Marked
Freedom of Information Classification – Open



Attendance

Helen Donnellan (Chair)	Committee Member
Tom Grainger (Vice Chair)	Committee Member
Amanda Gallaher	Committee Member
Malcolm Smith	Committee Member
Gordon Mattocks	Committee Member
Linda Williams	Committee Member
Frances Hughes	Chief Executive Officer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Sandy Goscomb	Director of Finance and Resources (Devon and Cornwall Police Force)
Alexis Garlick	Chief Finance Officer (Office of the Police and Crime Commissioner, Dorset)
Karen James	Head of Alliance Audit, Insurance and Strategic Risk
Neal Butterworth	Head of Finance (Devon and Cornwall and Dorset Police Force)
Tanya Hutchings	Administrative Officer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Rupert Bamberger	South West Audit Partnership
Tracey Kirkpatrick	South West Audit Partnership
Alex Walling	Grant Thornton
Sharon Taylor	Assistant Chief Constable (Devon and Cornwall Police Force)
Stuart Dipple	Chief Inspector (Dorset Police Force)

Not Protectively Marked
Freedom of Information Classification – Open



Apologies

Simon Bullock	Chief Executive Officer (Office of the Police and Crime Commissioner, Dorset)
John Jones	Assistant Chief Officer (Dorset Police Force)
Nicola Allen	Treasurer (Office of the Police and Crime Commissioner, Devon and Cornwall)
Lucinda Hines	Head of Technical Accounting (Devon and Cornwall Police Force)
Jim Nye	Chief Superintendent (Devon and Cornwall Police Force)
Pete Windle	Superintendent (Dorset Police Force)
Laura Wicks	South West Audit Partnership

The Public Sector Equality Duty (PSED) as set out in **section 149 of the Equality Act 2010** requires public bodies to have **due regard** to the need to:

1. Eliminate unlawful discrimination, harassment, victimisation and any other prohibited conduct prohibited by the Act; and
2. Advance equality of opportunity between people who share a protected characteristic and people who do not share it; and
3. Foster good relations between people who share a protected characteristic and people who do not share it.

Protected Characteristics are age; disability; gender reassignment; pregnancy and maternity; race (including ethnic or national origins, colour or nationality); religion or belief (including lack of belief); sex and sexual orientation.